

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(d) AND 1.27 (d)) - NONPROFIT ORGANIZATION**Docket No.  
480848.90019

Serial No.

09/319,782

Filing Date

Patent No.

Issue Date

Applicant/ **DROUIN et al.**  
Patentee:

Invention:

**NUR-RE A RESPONSE ELEMENT WHICH BINDS NUR NUCLEAR RECEPTORS AND METHOD OF USE  
THEREFOR**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: INSTITUT DE RECHERCHES CLINIQUES DE MONTRÉALADDRESS OF ORGANIZATION: 110 des Pins West, Montréal,  
Québec, CANADA, H2W 1R7**TYPE OF NONPROFIT ORGANIZATION:**

- ☒ University or other Institute of Higher Education
- ☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational under Statute of State of The United States of America  
Name of State: Citation of Statute:
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America  
Name of State: Citation of Statute:

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification to be filed herewith.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.  
☐ each such person, concern or organization is listed below.

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME  
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

DIANE MARCILL

TITLE IN ORGANIZATION:

SECRETARY GENERAL

ADDRESS OF PERSON SIGNING:

SIGNATURE:

Diane Marcill

DATE:

February 25, 2000

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to PCT International Application)

 ATTORNEY DOCKET NUMBER  
 48044.00010

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NUR-RE A RESPONSE ELEMENT WHICH BINDS NUR NUCLEAR RECEPTORS AND METHOD OF USE THEREFOR**

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as U.S. Patent Application Serial Number \_\_\_\_  
 on \_\_\_\_,  
 as amended on \_\_\_\_ (if applicable).

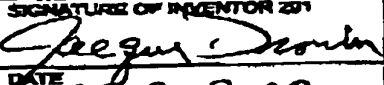
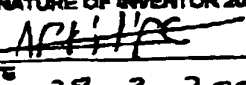
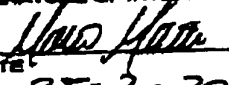
☒ was filed as a PCT international application number PCT/CA97/00962 on 12 DEC 1997  
 as amended under PCT Article 19 on \_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the applications for which priority is claimed:

FROM FOREIGN PATENT APPLICATION(S) AND ANY PRIORITY CLAIMED UNDER 35 U.S.C. §119:			
COUNTRY of PCT (include PCT)	APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)	PRIORITY CLAIMED UNDER 35 USC 119
	PCT/CA97/00962	12 December 1997	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Canada	2182784	12 December 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

<b>COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY</b> <small>(Includes Reference to PCT International Applications)</small>				<b>ATTORNEY CHECK NUMBER</b> <small>XXXXXXXXXX</small>																																					
<p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.</p>																																									
<p><b>Prior U.S. Applications or PCT International Applications Designating the U.S. for Priority under 35 U.S.C. 120:</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;">U.S. APPLICATIONS</th> <th colspan="3" style="text-align: center;">STATUS (Check One)</th> </tr> <tr> <th style="text-align: center;">U.S. APPLICATION NUMBER</th> <th style="text-align: center;">U.S. FILING DATE</th> <th style="text-align: center;">PUBLISHED</th> <th style="text-align: center;">ABANDONED</th> <th style="text-align: center;">PENDING</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table>						U.S. APPLICATIONS		STATUS (Check One)			U.S. APPLICATION NUMBER	U.S. FILING DATE	PUBLISHED	ABANDONED	PENDING																										
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<p><b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. (List names and registration numbers):</p>																																									
<p>Send Correspondence to:  <b>Jean C. Baker</b>  <b>Quarles &amp; Brady LLP</b>  <b>411 East Wisconsin Ave. Suite 2550</b>  <b>Milwaukee, WI 53202-4497</b></p>			<p>Direct Telephone Calls to:  <b>(414) 277-8000</b></p>																																						
201	<b>FULL NAME OF INVENTOR</b> <b>RESIDENCE &amp; CITIZENSHIP</b> <b>POST OFFICE ADDRESS</b>	<b>FAMILY NAME</b> <b>CITY</b> <b>POST OFFICE ADDRESS</b>	<b>FIRST GIVEN NAME</b> <b>STATE OR COUNTRY</b> <b>CITY</b>	<b>SECOND GIVEN NAME</b> <b>COUNTRY OF CITIZENSHIP</b> <b>STATE &amp; ZIP CODE/COUNTRY</b>																																					
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<b>DATE</b> <b>25-2-2000</b>		<b>DATE</b> <b>28-2-2000</b>		<b>DATE</b> <b>25-2-2000</b>																																					